



RECEIVED

JAN 30 2002

TECH CENTER 1600/2900

Sheet 1 of 1

SUBSTITUTE FORM PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket No. 01997/525002
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Serial No. 09/843,598
(37 C.F.R. §1.98(b))		Applicant Horvitz et al.
		Filing Date April 26, 2001
		Group 1645
		IDS Filed December 14, 2001
		Customer Number 21559

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)

PP	Hamdan et al., "Characterization of a Novel Serotonin Receptor from <i>Caenorhabditis elegans</i> : Cloning and Expression of Two Splice Variants," <i>Journal of Neurochemistry</i> , 72:1372-1383, (1999)
	Huang et al., "Alternative-Splicing of Serotonin Receptor Isoforms in the Pharynx and Muscle of the Parasitic Nematode, <i>Ascaris suum</i> ," <i>Molecular and Biochemical Parasitology</i> , 101:95-106, (1999)
	Olde and McCombie, "Molecular Cloning and Functional Expression of a Serotonin Receptor from <i>Caenorhabditis elegans</i> ," <i>Journal of Molecular Neuroscience</i> , 7:53-62, (1997)
	Sze et al., "Food and Metabolic Signalling Defects in a <i>Caenorhabditis elegans</i> Serotonin-Synthesis Mutant," <i>Nature</i> , 403: 560-564, (2000)
	Trim et al., "Characterization of 5-HT Receptors in the Parasitic Nematode, <i>Ascaris suum</i> ," <i>Parasitology</i> , 122:207-217, (2001)
PP	Williams et al., "Identification of a Novel 5-HT <sub>N</sub> (Nematoda) Receptor from <i>Ascaris suum</i> Muscle," <i>Comp. Biochem. Physiol.</i> 101C:469-474, (1992)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.



Sheet 1 of 1

TECH CENTER 1600/2900  
JUN 04 2002

RECEIVED

SUBSTITUTE FORM PTO-1460 (04-01-00) DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Attorney Docket No.	01997/525002	
(37 C.F.R. §1.98(b))				Serial No.	09/843,598	
				Applicant	Horvitz et al.	
				Filing Date	April 26, 2001	
				Group	1633	
				IDS Filed	May 25, 2002	
				Customer No.	21559	
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)						
PP	Ali et al., "Ionotropic and Metabotropic Activation of a Neuronal Chloride Channel by Serotonin and Dopamine in the Leech <i>Hirudo medicinalis</i> ," <i>Journal of Physiology</i> 509:211-219 (1998).					
	De Montigny et al., "Tricyclic Antidepressants: Long-Term Treatment Increases Responsivity of Rat Forebrain Neurons to Serotonin," <i>Science</i> 202:1303-1306 (1978).					
	Garner et al., "Serotonin Activates Cl <sup>-</sup> Channels in the Apical Membrane of Rat Choroid Plexus Epithelial Cells," <i>Eur. J. Pharmacol.</i> 239:31-37 (1993).					
	Hung et al., "Regulation of Mouse Choroid Plexus Apical Cl <sup>-</sup> and K <sup>+</sup> Channels by Serotonin," <i>Brain Res.</i> 617:285-295 (1993).					
	Koumenis et al., "Identification of Three Proteins in the Eye of <i>Aplysia</i> , Whose Synthesis Is Altered by Serotonin (5-HT)," <i>Journal of Biological Chemistry</i> 270:14619-14627 (1995).					
	Lessmann et al., "Development of Serotonin-Induced Ion Currents in Identified Embryonic Retzius Cells From the Medicinal Leech ( <i>Hirudo medicinalis</i> )," <i>J. Neurosci.</i> 11:800-809 (1991).					
	Lessmann et al., "Two Kinetically Distinct 5-Hydroxytryptamine-Activated Cl <sup>-</sup> Conductances at Retzius P-Cell Synapses of the Medicinal Leech," <i>J. Neurosci.</i> 15:1496-1505 (1995).					
	Liu et al., "High-Throughput Isolation of <i>Caenorhabditis elegans</i> Deletion Mutants," <i>Genome Research</i> 9:859-867 (1999).					
	Madison et al., "Phorbol Esters Block a Voltage-Sensitive Chloride Current in Hippocampal Pyramidal Cells," <i>Nature</i> 321:695-697 (1986).					
	Munsch and Schlueter, "Intracellular Chloride Activity and the Effect of 5-Hydroxytryptamine on the Chloride Conductance of Leech Retzius Neurons," <i>Eur. J. Neurosci.</i> 5:1551-1557 (1993).					
	Parra et al., "How Many Subtypes of Inhibitory Cells in the Hippocampus?," <i>Neuron</i> 20:983-993 (1998).					
PP	Scroggin et al., "Multiple Receptor Subtypes Mediate the Effects of Serotonin on Rat Subfornical Organ Neurons," <i>Am. J. Physiol.</i> 275:R2035-R2042 (1998).					
EXAMINER	<i>Pete Paar</i>		DATE CONSIDERED		6/15/03	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.						